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PTO/SB/21 (04-04)

## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number	10/750,128
Filing Date	December 31, 2003
First Named Inventor	Niniane Wang, et al.
Art Unit	2155
Examiner Name	Unknown
Attorney Docket Number	53051/294552

### ENCLOSURES (check all that apply)

Fee Transmittal Form

Fee Attached

Amendment / Reply

After Final

Affidavits/declaration(s)

Extension of Time Request

Express Abandonment Request

Information Disclosure Statement

Certified Copy of Priority Document(s)

Response to Missing Parts/ Incomplete Application

Response to Missing Parts under 37 CFR 1.52 or 1.53

Drawing(s)

Licensing-related Papers

Petition

Petition to Convert to a Provisional Application

Power of Attorney, Revocation Change of Correspondence Address

Terminal Disclaimer

Request for Refund

CD, Number of CD(s) \_\_\_\_\_

After Allowance Communication to Technology Center (TC)

Appeal Communication to Board of Appeals and Interferences

Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)

Proprietary Information

Status Letter

Other Enclosure(s)  
(please identify below):

-Form PTO/SB/08 (4 sheets)

-34 References

-Return Receipt Postcard

Remarks

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm  
or  
Individual name

Michael J. Turton, Reg. No. 40,852  
Kilpatrick Stockton LLP

Signature

Date

October 13, 2004

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Emily Guida

Signature

Date

October 13, 2004

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Niniane Wang, et al.

Serial No.: 10/750,128

Filed: December 31, 2003

For: METHODS AND SYSTEMS FOR REGULATING RESOURCE USAGE



Mail Stop Amendments  
Commissioner for Patents  
P.O. Box 1450  
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INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97, and 1.98, Applicant submits herewith on Form PTO/SB/08 a listing of documents known to the Applicant and/or his attorney. Applicant respectfully requests consideration of the cited documents and making the same of record in the prosecution of the above-identified application.

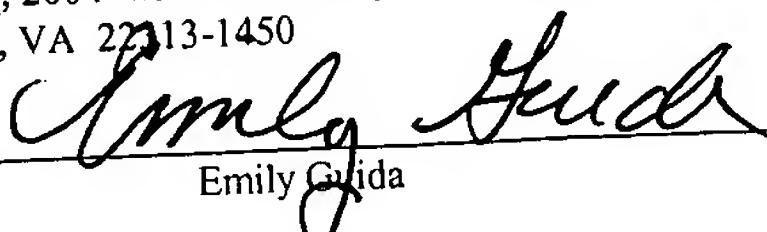
For several of the documents listed in "Non Patent Literature Documents," the date which is shown on the document is listed. Applicant makes no representation as to the publication date, if any, of the document. Copies of the documents are enclosed.

Applicant does not represent or admit that any document listed is prior art or material, and Applicant reserves the right to challenge any assertion that a document

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CERTIFICATE OF MAILING (37 CFR 1.8a)

I hereby certify that this correspondence, along with any paper referred to as being attached or enclosed, is being deposited with the United States Postal Service on this 13 day of October, 2004 with sufficient postage as first-class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

  
Emily Guida

U.S.S.N.: 10/750,128  
Filed: December 31, 2003  
Information Disclosure Statement

listed is prior art or material. Further, Applicant reserves the right to establish patentability over the listed documents.

It is respectfully requested that the references listed on the attached form PTO/SB/08 be expressly considered by the Examiner, made of record in the application, and appear among the "References Cited" on any patent to issue therefrom.

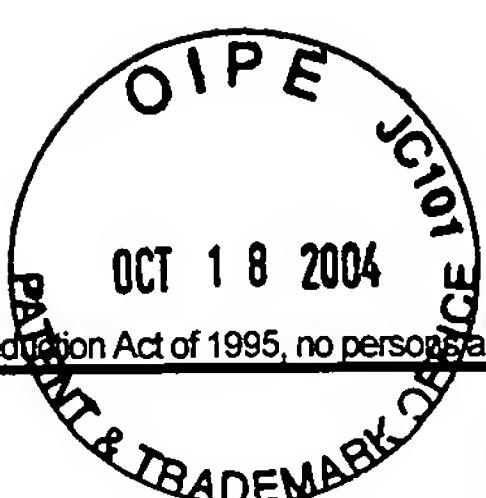
This Information Disclosure Statement ("IDS") is being submitted prior to the mailing of a first Office Action on the merits in this application. Accordingly, it is believed that no fees are due for consideration of this IDS. However, should any fees be due under 37 C.F.R. §1.16 or §1.17 or otherwise, the Commissioner is hereby authorized to charge these fees and any other additional fees which may be required during the entire pendency of this application, or to credit any overpayment, to Deposit Account No. 11-0855.

Respectfully submitted,



\_\_\_\_\_  
Michael J. Turton  
Reg. No. 40,852

KILPATRICK STOCKTON LLP  
1100 Peachtree Street, Suite 2800  
Atlanta, Georgia 30309  
404.815.6061  
Attorney Docket No.: 53051/294552



PTO/SB/08a (08-03)

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**Substitute for form 1449A/PTO**

# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

**(Use as many sheets as necessary)**

Sheet 1 of 4

<i>Complete if Known</i>	
<i>Application Number</i>	10/750,128
<i>Filing Date</i>	December 31, 2003
<i>First Named Inventor</i>	Niniane Wang, et al.
<i>Art Unit</i>	2155
<i>Examiner Name</i>	Unknown
<i>Attorney Docket Number</i>	53051/294552

## **U.S. PATENT DOCUMENTS**

## **FOREIGN PATENT DOCUMENTS**

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.<sup>1</sup> Applicant's unique citation designation number (optional).<sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04.<sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).<sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.<sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible.<sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet

2 of 4

**Complete if Known**

Application Number	10/750,128
Filing Date	December 31, 2003
First Named Inventor	Niniane Wang, et al.
Art Unit	2155
Examiner Name	Unknown

Attorney Docket Number 53051/294552

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	9	80-20 Software – Products – 80-20 One Search, <a href="http://www.80-20.com/products/one-search/retriever.asp">http://www.80-20.com/products/one-search/retriever.asp</a> , printed 3/16/2004.	
	10	"askSam™ Making Information Useful," askSam, - Organize your information with askSam, <a href="http://www.asksam.com/brochure.asp">http://www.asksam.com/brochure.asp</a> , printed 3/15/2004.	
	11	Alexa® Web Search – Toolbar Quick Tour, <a href="http://pages.alexa.com/prod_serv/quicktour.html">http://pages.alexa.com/prod_serv/quicktour.html</a> , pp. 1-5, printed 3/16/2004.	
	12	BARRETT, R. et al., "How to Personalize the Web," IBM Research, <a href="http://www.almaden.ibm.com/cs/wbi/papers/chi97/wbipaper.html">http://www.almaden.ibm.com/cs/wbi/papers/chi97/wbipaper.html</a> , pp. 1-13, printed 3/16/2004.	
	13	BATTELLE, J., CNN.com "When geeks go camping, ideas hatch," <a href="http://www.cnn.com/2004/TECH/ptech/01/09/bus2.feat.geek.camp/index.html">http://www.cnn.com/2004/TECH/ptech/01/09/bus2.feat.geek.camp/index.html</a> , pp. 1-3, printed 1/13/2004.	
	14	BOYAN, J., et al., "A Machine Learning Architecture for Optimizing Web Search Engines," School of Computer Science, Carnegie Mellon University, May 10, 1996, pp. 1-8.	
	15	BRADENBAUGH, F., "Chapter 1 The Client-Side Search Engine," <i>JavaScript Cookbook</i> , 1 <sup>st</sup> Ed., October 1999, O'REILLY™ Online Catalog, <a href="http://www.oreilly.com/catalog/jscook/chapter/ch01.html">http://www.oreilly.com/catalog/jscook/chapter/ch01.html</a> , pp. 1-30, printed 12/29/2003.	
	16	BRIN, S., et al., "The Anatomy of a Large-Scale Hypertextual Web Search Engine," <a href="http://www7.scu.edu.au/programme/fullpapers/1921/com1921.htm">http://www7.scu.edu.au/programme/fullpapers/1921/com1921.htm</a> , pp. 1-18, 1998.	
	17	BUDZIK, J., et al., "User Interactions with Everyday Applications as Context for Just-in-time Information Access, Intelligent Information Laboratory, Northwestern University, pp. 1-8, no date.	
	18	DEVONthink, <a href="http://www.devon-technologies.com/products/devonthink.php">http://www.devon-technologies.com/products/devonthink.php</a> , printed 3/16/2004	
	19	dtSearch® - <a href="http://www.dtsearch.com/">http://www.dtsearch.com/</a> , printed 3/15/2004.	

Examiner Signature

Date Considered

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet

3

of

4

**Complete if Known**

Application Number	10/750,128
Filing Date	December 31, 2003
First Named Inventor	Niniane Wang, et al.
Art Unit	2155
Examiner Name	Unknown

Attorney Docket Number 53051/294552

**NON PATENT LITERATURE DOCUMENTS**

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	20	DUMAIS, S., et al, "Stuff I've Seen: A System for Personal Information Retrieval and Re-Use," Microsoft Research, S/GIR'03, July 28-August 1, 2003, pp. 1-8.	
	21	Enfish, <a href="http://www.enfish.com">http://www.enfish.com</a> , printed 3/16/2004.	
	22	Fast Search & Transfer – Home – Enterprise Search, <a href="http://solutions.altavista.com/en/news/pr_020402_desktop.shtml">http://solutions.altavista.com/en/news/pr_020402_desktop.shtml</a> , printed 3/16/2004.	
	23	FERTIG, S., et al., "Lifestreams: An Alternative to the Desktop Metaphor," <a href="http://www.acm.org/sigchi/chi96/proceedings/videos/Fertig/elv.htm">http://www.acm.org/sigchi/chi96/proceedings/videos/Fertig/elv.htm</a> , pp. 1-3, printed 3/16/2004.	
	24	GEISLER, G., "Enriched Links: A Framework for Improving Web Navigation Using Pop-Up Views," pp.1-14, 2000.	
	25	ISYS Search Software – ISYS: desktop, <a href="http://www.isysusa.com/products/desktop/index.html">http://www.isysusa.com/products/desktop/index.html</a> , printed 3/16/2004.	
	26	JOACHIMS, T., et al., "WebWatcher: A Tour Guide for the World Wide Web," 1996.	
	27	MARKOFF, J., "Google Moves Toward Clash with Microsoft," <i>The New York Times</i> , May 19, 2004, <a href="http://www.nytimes.com/2004/5/19/technology/19google.html?ex=1085964389&amp;ei=1&amp;e...">http://www.nytimes.com/2004/5/19/technology/19google.html?ex=1085964389&amp;ei=1&amp;e...</a> , pp. 1-4, printed 5/19/2004.	
	28	NARAIN, R., "Future of Search Will Make You Dizzy," Enterprise, May 20, 2004, <a href="http://www.internetnews.com/ent-news/article.php/3356831">http://www.internetnews.com/ent-news/article.php/3356831</a> , pp. 1-4, printed 5/21/2004	
	29	"Overview," Stuff I've Seen – Home Page, <a href="http://research.Microsoft.com/adapt/sis/index.htm">http://research.Microsoft.com/adapt/sis/index.htm</a> , pp. 1-2, printed 5/26/2004.	
	30	RHODES, B., "Margin Notes Building a Contextually Aware Associative Memory," <i>The Proceedings of the International Conference on Intelligent User Interfaces (IUI'00)</i> , January 9-12, 2000.	
	31	RHODES, B., et al., "Just-in-time information retrieval agents," <i>Systems Journal</i> , Vol. 39, Nos. 3&4, 2000, pp. 685-704.	
	32	RHODES, B., et al., "Remembrance Agent – A continuously running automated information retrieval system," <i>The Proceedings of the First International Conference on the Practical Application of Intelligent Agents and Multi Agent Technology (PAAM '96)</i> , pp. 487-495.	

Examiner Signature	Date Considered
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	33	RIZZO, T., "WinFS 101: Introducing the New Windows File System," Longhorn Developer Center Home: Headline Archive: WinFS 101: Introducing the New...., <a href="http://msdn.Microsoft.com/Longhorn/archive/default.aspx?pull+/library/en-us/dnwinfs/htm...">http://msdn.Microsoft.com/Longhorn/archive/default.aspx?pull+/library/en-us/dnwinfs/htm...</a> , pp. 1-5, printed 4/21/2004.	
	34	"Searching for the next Google – New trends are helping nimble startups elbow in to the plundered market," RED HERRING – The Business of Technology, March 9, 2004, <a href="http://redherring.com/PrintArticle.aspx?a=4782&amp;sector=Capital">http://redherring.com/PrintArticle.aspx?a=4782&amp;sector=Capital</a> , pg. 1-5, printed 3/30/2004.	
	35	"Selecting Task-Relevant Sources for Just-In-Time Retrieval," pp. 1-3, no date.	
	36	SHERMAN, C., "HotBot's New Desktop Search Toolbar," <a href="http://www.searchenginewatch.com/searchday/print.php/34711_339921">www.searchenginewatch.com</a> , <a href="http://www.searchenginewatch.com/searchday/print.php/34711_339921">http://www.searchenginewatch.com/searchday/print.php/34711_339921</a> , pp. 1-3, printed 4/14/2004.	
	37	"Standardization Priorities for the Directory – Directory Interoperability Forum White Paper," The Open Group, December 2001, pp. 1-21.	
	38	SULLIVAN, D., "Alta Vista Releases Search Software," <i>The Search Engine Report</i> , August 4, 1998, pp. 1-2.	
	39	WebWatcher Home Page, "Welcome to the WebWatcher Project," <a href="http://www-2.cs.cmu.edu/~webwatcher/">http://www-2.cs.cmu.edu/~webwatcher/</a> , printed 10/15/2003.	
	40	"WhenU Just-In-Time Marketing," <a href="http://www.whenu.com">http://www.whenu.com</a> , printed 3/19/2004.	
	41	X1 instantly searches files & email. For outlook, Outlook, <a href="http://www.x1.com/">http://www.x1.com/</a> , printed 3/15/2004.	
	42	ZELLWEGER, P., et al., "Fluid Links for Informed and Incremental Link Transitions," Proceedings of Hypertext'98, Pittsburgh, PA, June 20-24, 1998, pp.50-57.	

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